

**REGULATIONS GOVERNING AWARD OF**  
**DEGREE OF DOCTOR OF PHILOSOPHY [Ph.D.] 2010**

**1. TITLE AND COMMENCEMENT**

- 1.1 These Regulations shall be called the Regulations governing the **standards and procedures for** the award of the degree of Doctor of Philosophy [Ph.D.] of the University of Mysore.
- 1.2 These Regulations shall come into force from the date of assent of the Chancellor.
- 1.3 The University shall establish a separate Directorate of Research headed by a full time Director. The Directorate shall be responsible for all matters pertaining to Ph.D. programs of the University of Mysore. The Directorate shall work under the overall supervision of the Registrar (Evaluation).

**2. DEFINITIONS**

In these Regulations, unless the context otherwise requires

- 2.1 "University" means the University of Mysore;
- 2.2 "Degree" means the degree of Doctor of Philosophy [Ph.D.];
- 2.3 "Department" means the Department of Studies of the University OR the Recognized Research Center / Institution of the University where the candidate intends to work for the degree;
- 2.4 "Head of the Department" means the Chairperson of the Department of Studies of the University OR the Head of the Recognized Research Center / Institution of the University where a candidate works for the degree;
- 2.5 "Candidate" means any person who satisfies the prescribed eligibility conditions as stated later in Section 5 and who intends to register for the degree;
- 2.6 "Foreign candidate" means any person who is a foreign national with a valid foreign Passport, satisfying the prescribed eligibility conditions as stated later in Section 5 and who intends to register for the degree, with a valid research VISA;
- 2.7 "Board of Studies" means the Postgraduate Board of Studies in the subject concerned / Joint Boards of Studies in more than one subject / Interdisciplinary Board of Studies as constituted by the University;
- 2.8 "Course work" means the compulsory preparatory study to be undertaken by a candidate as prescribed by Board of Studies;
- 2.9 "Guide" means the recognized supervisor for the research work of a candidate satisfying eligibility as in Section 4 below;
- 2.10 "Co-guide" means the recognized supervisor(s) who supervises the Ph.D. work of a candidate jointly with the guide satisfying eligibility as in Section 4 below;

- 2.11 "Doctoral Committee" means the Committee constituted by the University to oversee the research work of a candidate;
- 2.12 "Board of Examiners" means the panel of examiners constituted by the University for adjudicating the Ph.D. thesis submitted by a candidate.

### 3. CONSTITUTION AND FUNCTIONS OF THE DOCTORAL COMMITTEE

3.1 The Doctoral Committee shall consist of

- (a) the Guide and Co-guide, if any, of the candidate,
- (b) the Chairperson of the Department, and
- (c) two Senior Faculty Members / Scientists of the Department.

The Guide shall be the Chairperson of the Doctoral committee. Wherever Guide is also the Chairperson of the Department, another Senior Faculty Member / Scientist shall be included in the committee. In such Departments wherein the number of faculty is less than two, members of the Doctoral Committee shall be chosen from sister Departments. As the members of the Doctoral Committee may change due to superannuation, resignation of faculty or any other reason(s), these can be filled up by the University upon written request from the Guide, whose membership in the committee is by name only.

3.2 The Doctoral Committee shall monitor and assess

- (a) pre-registration colloquium as in 6.4 below,
- (b) progress reports of a candidate submitted periodically as in Section 7 below, through the Head of the Department and the Director of Research, and submit possible suggestions, if any, regarding the progress of research work to the candidate through the Director of Research and the Head of the Department;
- (c) pre-thesis submission colloquium as in 8.1 below;
- (d) open viva-voce of the candidate as in 8.7 below.

### 4. ELIGIBILITY CRITERIA FOR RECOGNITION AS GUIDE

4.1 **(a) Professors and Scientists of equivalent cadre** working in Departments of Studies of the University / Recognized Research Centers of the University are recognized as Ph.D. Guides in their subjects concerned by virtue of their positions. However, if they wish to supervise candidates of other disciplines / interdisciplinary studies, then they have to formally apply for such a recognition as prescribed below in (c).

**(b) Associate / Assistant Professors and Scientists of equivalent cadre** working in Departments of Studies of the University / Constituent Colleges / Recognized Research Centers of the University / **Professors** working in Constituent Colleges are eligible to be recognized as Ph.D. Guides in a subject if they have a Ph.D. in that subject, at least three years continuous service on a regular basis without break and if they have published at least three research articles in books or reputed peer reviewed journals in the discipline in which they are desirous of guiding candidates.

**(c) Faculty / Scientists** desirous of supervising candidates of other disciplines / interdisciplinary studies, shall submit an application with detailed curriculum vitae (CV) to the Director of Research of the University along with evidence of regular service and of publications of research articles in the discipline concerned.

**(d)** Teaching / Scientific faculty who join the University after serving elsewhere with three years of regular teaching / research experience in a relevant discipline, are also eligible to be considered for recognition as guide if they satisfy the other requirements as mentioned in (a), (b) and (c).

- 4.2 To decide upon recognition of Guides, the Director of Research shall convene a meeting of a Committee as and when required. The Committee shall have the Vice Chancellor or his / her nominee as Chairman, the Registrar (Evaluation), the Chairpersons of the concerned Board (s) of Studies, two members each of the concerned Board (s) of Studies and the committee shall consider applications for recognition of guides in specific discipline / disciplines / interdisciplinary / multidisciplinary studies as and when necessary. The Director of Research shall be the convener of the Committee.
- 4.3 Faculty / Scientists who attain **superannuation or resign** their jobs will forfeit recognition as Guide / Co-guide. However, such a person shall sign a letter that he/ she shall have the responsibility of continuing to guide candidates who were registered under him / her, before getting relieved from the University.
- 4.4 In **exceptional cases** where a Guide who has attained superannuation but continues to work under sponsorship from recognized funding agencies, the Vice Chancellor can extend the recognition of such person as Guide for a specific period after superannuation on a case-by-case basis upon request from the person supported by relevant evidences of such funding / sponsorship.
- 4.5 **(a)** A Guide / Co-guide may **supervise not more than Eight** candidates at a time out of which at least one candidate shall be SC/ST, at least one shall be OBC and at least one shall be foreign national.  
**(b)** A Guide may be permitted to recruit a maximum of two candidates under projects funded through the University by recognized funding agencies, in addition to the eight candidates specified as in (a).  
**(c)** A Guide / Co-guide has to sign a declaration about the number of candidates working with him / her. Such declarations shall accompany the registration application of every candidate. A faculty who joins the University and is guiding candidates working in other Universities / Institutions shall inform the University of the same and get permission to continue guiding these candidates.  
**(d)** A Guide / Co-guide who has been recognized to supervise the work in more than one subject is also governed by the preceding regulations. The total candidates working under any Guide / Co-guide shall be the sum total of all the candidates working under his / her supervision in all the subjects.
- 4.6 **(a)** In the Departments wherever infrastructural requirements including chemicals / equipments / instruments are required, the Department council / Head of the Institute / Recognized Research Center / Principal of the Constituent College shall decide on the number of candidates without fellowship / stipend it can accommodate per faculty member and send this information to the Director of Research before notification for admission is sent.  
**(b)** The Department Council shall recommend the amount of Laboratory Fee to be collected from the candidates without fellowship / stipend based on research needs of the candidate.

## 5. ELIGIBILITY CRITERIA FOR A CANDIDATE

Any candidate who satisfies the following conditions is eligible to seek registration for Ph.D. in a Department upon application for provisional registration after going through these Regulations and Guidelines for Ph.D. which shall be supplied along with the application.

- 5.1 A candidate shall have Masters Degree or any other Degree / Diploma of the University or any other Degree / Diploma of any other University / Institution recognized as equivalent thereto, with a minimum of 55% marks or equivalent Cumulative Grade Point Average (CGPA) or an equivalent Grade. However, this condition is relaxed to 50% in case of
  - (a) SC/ST/Cat.-I candidates,
  - (b) in-service candidates with **three** years of regular teaching experience as teachers / library professionals / physical education instructors in educational institutions.
- 5.2 A candidate shall be guided by a Research Guide recognized by the University in the concerned subject.
- 5.3 In case where the research of a candidate is inter/ multi-disciplinary in nature, the candidate may opt for a Co-guide, who shall also be the recognized Guide of the University in the concerned subject. However, the main responsibility of supervising the research work is vested with the Guide and the candidate shall finalize and submit the thesis through the Guide giving due acknowledgement and credit to the Co-guide which shall also be mentioned in the Ph.D. Notification by the Registrar (Evaluation) after successful completion of Ph.D.
- 5.4 **Entrance Test: Admission to Ph.D. shall be through an Entrance Test and Interview.** Applications for Entrance Test shall be invited **twice a year** in the months of September & March, depending on the vacancies existing in each subject. The Director of Research shall obtain details of vacancies from the Chairpersons of Departments / Heads of Recognized Research Centers / Institutions before inviting applications.
- 5.5 The Entrance Test shall consist of **one paper on the broad area of Ph.D. of 80 marks** with 3 hours duration and **syllabus of the paper shall be framed by the respective Boards of Studies based on UGC / CSIR National Eligibility Test (NET) pattern.** The question paper shall contain 40 objective type questions of one mark each, and essay type/short answer questions for 40 marks. For candidates intending to do inter / multidisciplinary research, the Entrance Test shall be a common Entrance Test in a general paper and the syllabus of the paper shall be framed by the Inter / Multidisciplinary Board of Studies. A candidate securing at least 32 marks out of 80 in the Entrance Test shall be declared as eligible to apply for admission to Ph.D. The results of the Entrance Test shall be declared by the Director of Research who shall issue mark list to candidates attending the Entrance Test. The marks of the Entrance Test shall be valid for two years from the date of the entrance test.
- 5.6 Depending on the **vacancies available in a subject**, candidates shall be called for **Interview in the ratio 1:3** based on the marks scored in the Entrance Test. The Interview shall be for 20 marks to be conducted by the Department Council. Selection of candidates for provisional registration shall be based on 50% of marks

in Qualifying Examination and 50% of marks in the Entrance Test and Interview put together. The Department Council **shall notify the List of Selected Candidates** for Ph.D. and specify a last date for admission.

- 5.7 **Provisional Registration:** Selected candidates shall apply for **Provisional Registration** as Doctoral Candidates after payment of stipulated fee to the University. The duly filled-in application form shall be sent to the Director of Research, University of Mysore, Mysore 570005, through the Guide, Co-guide (s), if any, and the Head of the Department along with all necessary enclosures as stipulated in the form before the last date specified by the Department Council as in 5.6. Application forms can be had from the office of the Director of Research.
- 5.8 Candidates who are not selected for a Ph.D. program have to **re-apply whenever the applications** are called for again and go through the Entrance Test afresh if the validity of their Entrance Test marks has expired. If a candidate wishes to improve his / her Entrance Test scores before the expiry of the validity of his / her Entrance Test, then such candidates have to enclose the original mark list of their Entrance Test along with their application for Entrance Test.
- 5.9 Candidates who have qualified for UGC / CSIR JRF fellowships through UGC/CSIR NET Examinations / with valid GATE scores are **exempted from the Entrance Test** and can apply directly to the Director of Research whenever they become eligible. Such applications shall be forwarded by the Director of Research to the Chairperson of the concerned Departments and the Department Council shall allot a Guide to such candidates as per 4.5 above.

## 6. REGULAR REGISTRATION

- 6.1 The provisionally registered candidates shall undergo **Ph.D. Course Work of one semester** duration from the date of Provisional Registration, prescribed by the University in:
- (a) Advanced Research Methodology (100 marks, common for all candidates in a subject), and
  - (b) Review of Literature in the area of research (100 marks).
- The modalities and syllabus of the Course Work shall be as prescribed by the Board of Studies of the University. The syllabus for Advanced Research Methodology shall be common subject-wise and evaluation for 50 marks shall be based on Tutorials, Seminars, Assignments and Evaluation for 50 marks shall be based on a Written Test. Wherever feasible, more than one Department shall join together to offer a common course work for Advanced Research Methodology paper.
- 6.2 After 16 weeks of Course Work, the Directorate of research shall conduct a **Written Assessment Test for Advanced Research methodology paper for 50 marks** and the concerned Department Council shall assess the Review of Literature in the area of research paper through seminar presentations preceded by submission of Seminar Report (s), within a month. The Chairman / Head of the Department shall forward the Assessment of the Review of Literature in the area of research to the Director of Research. The Director of Research shall announce the result of the Course Work.
- 6.3 Candidates who are unsuccessful in the Course Work shall be permitted to reappear for Assessment within a month from the date of announcement of the

results. Provisional Registration of a candidate who fails in the Assessment of Course Work in two attempts shall be canceled by the Director of Research.

#### 6.4 Pre-registration Colloquium:

- (a) After successful completion of Course Work as certified by the Head of the Department, a candidate, in consultation with his / her Guide, shall identify the Title of the proposed research topic within a month of announcement of results. The candidate shall then prepare a Synopsis in consultation with his / her Guide and shall submit **five copies of the Ph.D. Synopsis** to the Guide through Co-guide (s), if any. The Guide shall organize a meeting of the Doctoral Committee **within fifteen days** of receipt of the Synopsis to conduct the **Pre-registration Colloquium**.
- (b) Every candidate shall ensure that the Synopsis clearly defines the objectives, methodology, expected results and their implications in terms of filling up gaps in existing knowledge and its social / scientific relevance.
- (c) Every candidate shall prepare a brief technical report comprising literature survey / work plan and the scientific relevance of the proposed research and shall present it in the form of a colloquium before the Doctoral Committee.
- (d) The Doctoral Committee is authorized to suggest changes, if necessary, in the title /scope methodology of the topic selected for research, based on the performance of the candidate in the Pre-registration Colloquium.
- (e) The Doctoral Committee shall assess the preparedness of the candidate for the research to be undertaken. It may, however, ask the candidate to present the Colloquium again if the performance / preparedness of the candidate in the first instance is not satisfactory. Only one re-appearance is permitted within 2 months from the date of the first Colloquium.
- (f) If the Doctoral Committee does not approve the research proposal even after the second presentation, such a candidate, after a lapse of one year from the date of the second presentation, can again present the Pre-registration Colloquium, **after fresh Provisional Registration without undergoing Course Work**.

6.5 The Chairperson of the Doctoral Committee shall inform the decision of the Pre-registration Colloquium to the Director of Research of the University through the Head of the Department, as well as to the candidate **within one week** of the Colloquium. Based on the recommendations of the Doctoral Committee, the candidate is **permitted to apply for Registration**.

6.6 **Ph.D. Registration: Application for Registration** with prescribed fees shall be submitted to the Director of Research along with 15 copies of the Synopsis through Co-guide, if any, the Guide and the Head of the Department, after successful completion of the Pre-registration Colloquium. Incomplete application forms shall be returned to the candidate through the Head of the Department for needful action and the completed application has to be resubmitted to the Director of Research through the Head of the Department.

6.7 Candidates are eligible to apply for Registration **within six months** from the date of successful completion of the Ph.D. Course Work as certified by the Head of the Department. If any candidate fails to apply for Registration within six months from the date of successful completion of the Course Work, he / she forfeits his / her claim for Registration. Such a candidate has to re-apply for admission and go through the Entrance Test afresh.

6.8 (a) The office of the Director of Research has to forward the application for Ph.D.

Registration to the Chairperson of the concerned Board of Studies **within fifteen days** of receipt of the application.

- (b) The Chairperson Board of Studies shall arrange to obtain the recommendations of the members in writing **within one month** from the date of receipt of application from the Director of Research, consolidate the decision of the Board and inform the same to the Director of Research along with four copies of the Approved Synopsis.
- (c) The Director of Research has to **notify the Registration within fifteen days** of receipt of the recommendations of the Board of Studies to the candidate / Guide / Chairpersons of Board of Studies / Department / Research Institute/ Doctoral committee members. The Registration is effective from the date of Provisional Registration.
- (d) In case the Board of Studies suggests for changes in the Ph.D. Synopsis, the Director of Research shall inform the candidate about such recommendation and ask the candidate to submit the Synopsis afresh by repeating a Pre-registration Colloquium as in 6.4.

6.9 The Ph.D. Registration is **valid for FIVE years only** from the date of Registration.

6.10 **Foreign candidates** with Indian / Foreign degree have to fulfill all formalities and the effective date of registration shall be the **date of reporting to the concerned Chairperson / Head with a valid Research Visa**. From that date, the foreign candidate shall undergo mandatory Course Work and all the procedures mentioned in this regulation. However, a foreign candidate is **exempted from Entrance Test** for Visa related issues and his / her admission is based on the result of his / her qualifying examination only.

## 7. PROGRESS OF WORK

7.1 After Provisional Registration, a candidate is required to submit the following, **once a year within one month of completion of the year**, to the Director of Research through the Guide and the Head of the Department :

- (a) Progress Report of Research Work done,
- (b) Prescribed tuition and laboratory fees, if applicable,
- (c) Recommendation of the Guide regarding progress of research.

7.2 The Director of Research shall **send the Progress Report to the Doctoral Committee** within 15 days of receipt of the Progress Report. The Doctoral Committee shall **verify and discuss the Progress Report** and **send its comments on the same** including possible recommendations about the future course of the Research Work. These shall be **communicated to the candidate** by the Director of Research.

7.3 **Failure to submit two Consecutive Research Progress Reports** shall entail cancellation of the Registration, and this shall be notified by the Director of Research.

7.4 The candidate shall publish at least two research articles as main author / co-author, based on his / her Research Work in journals of repute to be eligible to submit the final thesis.

## 8. SUBMISSION OF THESIS AND EVALUATION

- 8.1 (a) The candidate is eligible to **submit his / her Thesis only after completing 2 years of Research Work** from the date of his / her Registration.

**Pre-thesis Submission Colloquium :**

- (b) A candidate has to **submit 5 copies of the Synopsis** of his / her Ph.D. Thesis highlighting contents of the Thesis and enclosing evidences of two research publications in peer reviewed Journals in the form of acceptance letters or published papers, to the Chairperson of the Doctoral Committee.
- (c) The Chairperson of the Doctoral Committee shall organize **the Pre-thesis Submission Colloquium** meeting in the concerned Department in consultation with the Head of the Department within fifteen days of the receipt of the Synopsis.
- (d) The Doctoral Committee shall advise and offer suggestions to the candidate for the finalization of the Thesis.
- (e) The Chairperson of the Doctoral Committee shall inform the decision of the Pre-thesis Submission Colloquium to the Director of Research of the University as well as to the candidate **within a week** of conducting the Colloquium.
- (f) If the Doctoral Committee is not satisfied with the Pre-thesis Submission Colloquium of a candidate, it may ask the candidate to appear for the Colloquium again **after a gap of one month**.
- 8.2 **Submission of Final Synopsis of Ph.D.:**
- (a) After successful completion of the Pre-thesis Submission Colloquium, a candidate shall **submit 15 copies of the Synopsis** of his / her Ph.D. Thesis with prescribed fees to the Director of Research through Co-guide(s), if any, the Guide and the Head of the Department.
- (b) The Synopsis shall be forwarded, **within two weeks**, by the Director of Research of the University, to the Chairperson of Board of Studies in the concerned subject, seeking panel of Examiners approved by the Board of Studies.

8.3 **Submission of Ph.D. Thesis:**

- (a) A candidate shall prepare a Thesis embodying results of original research and submit 5 copies of his / her Ph.D. Thesis and an electronic version of the Synopsis and Thesis in .pdf format (3 discs) for evaluation, **within 3 months from the date of submission of the Final Synopsis**. Failure to submit the Thesis within this period shall entail cancellation of the Ph.D. Registration of the candidate.
- (b) A certificate duly signed by the Guide and Co-guide, if any, to the effect the candidate has produced as main author / co-author, two research articles based on his / her research work in his / her Ph.D. Thesis and that the Research Work and the Thesis has not been previously submitted by the candidate or the Guide or the Co-guide, if any, either for award of any Degree or Diploma to this or any other University, shall be enclosed along with the Thesis.
- (c) The final submission of the Thesis has to be **within the stipulated Five Year Period** of Registration.
- (d) If any candidate fails to submit his / her Ph.D. Thesis within five years, he / she may apply to University for **Extension of his / her Registration for a maximum of two more years** with the recommendation of the Doctoral

Committee, through the Head of the Department, by remitting the prescribed fee.

- (e) If a candidate fails to submit Thesis even after seven years then his / her Ph.D. Registration is annulled and the Director of Research shall notify the same. Such a candidate has to begin the admission process again if he / she desires to work again.

8.4 **Evaluation of the Ph.D. Thesis:** The Thesis shall be evaluated by a Board of Examiners as follows :

- (a) The Thesis shall be evaluated by the Board of Examiners of the Thesis, with Guide as Internal Examiner and Chairperson of the Board and two External Examiners.
- (b) Upon receiving the letter from the Director of Research as in 8.3, the Chairperson of the concerned Board of Studies shall submit a **Panel of a minimum of twelve examiners drawn from different Universities, six of whom shall be from outside Karnataka / India**, to the Director of Research.
- (c) The Panel shall be prepared by the Chairperson of the concerned Board of Studies in consultation with the Guide and the Chairperson shall obtain written approval of the members of the concerned Board of Studies before sending the Panel to the Director of Research.
- (d) This process has to be completed by the Chairperson of the concerned Board of Studies **within one month** of receipt of the Synopsis of the Ph.D. Thesis from the Director of Research.
- (e) The Director of Research shall seek the acceptance from two of the Examiners in the Panel in the order of preference as approved by the Vice Chancellor.
- (f) The Examiners shall be asked to sign a Declaration that he / she is not a relative of the candidate or the Guide or the Co-guide and that he / she has no conflict of interest in valuing the Ph.D. Thesis.
- (g) The Examiners of the Thesis shall get a copy of the Thesis along with a copy of the Registered Synopsis and the Examiners have to examine whether the candidate has achieved the objectives mentioned in the Synopsis.

8.5 **Evaluation Reports:**

(a) The External Examiners shall send the **Evaluation Report to the Chairperson** of the Board of Examiners of the Thesis, with a copy to the Director of Research of the University only.

(b) Apart from the Evaluation Report, each Examiner is required to submit a **Short Report in the prescribed Proforma** duly signed and sent as **Hard Copy / FAX / Scanned Image**. (Appendix-A).

8.6 **Decision about Evaluation:**

- (a) If **both External Examiners reject the Thesis outright**, the Director of Research shall notify rejection of the Thesis and cancellation of Ph.D. Registration.
  
- (b) If **one** of the External Examiners **rejects** the thesis, the thesis shall be referred to **another** examiner. If this Examiner **also rejects** the Thesis, the Director of Research shall notify **Rejection of the Thesis** and cancellation of Ph.D. Registration.

- (c) If any one of the Examiners recommends **Revision and Reevaluation** of the Thesis, then the candidate shall revise the Thesis based on the suggestions made by the Examiner(s) and submit the Revised Thesis, duly certified by the Guide and with payment of the prescribed fees to the Director of Research of the University through the Head of the Department. The Director of Research shall send the Revised Thesis **within fifteen days** to the same Examiner(s).
- (e) If any Examiner recommends the award of degree after incorporating the suggested revisions / corrections, such modifications shall be complied with by the candidate and Guide and ratified by the Doctoral Committee upon receipt of the letter from the Director of Research. After ratification, the Revised Thesis shall be submitted to the Director of Research along with the prescribed fees.
- (f) If both External Examiners recommend acceptance of the Thesis, the Chairperson (the Guide), Board of Examiners shall send to the Director of Research the following reports :
- (g) The original Evaluation Reports of the two External Examiners along with the Evaluation Report of the Internal Examiner.
  - (h) A Consolidated Report highlighting the main points in the Evaluation Reports of two External Examiners, together with the recommendation of the Guide as the Chairperson of the Board of Examiners.

#### 8.7 **VIVA VOCE Exam:**

- (a) After receipt of the above mentioned Evaluation and Consolidated Reports from the Chairperson, Board of Examiners of the Thesis, the Director of Research shall ask the Chairperson of the Doctoral Committee of the concerned candidate to conduct an open Viva-Voce examination in the presence of the members of the Doctoral Committee, members of the concerned Faculty, research scholars and students by giving wide publicity. The Proceedings of this Meeting and the original Evaluation and Consolidated Reports shall be sent to the Director of Research within three days and the Director of Research has to notify the declaration of the results within two weeks after getting approval from the Vice Chancellor.
- (b) If the candidate desires, the viva-voce examination may be held via video conferencing as described in (a) after payment of necessary additional fees prescribed by the University.
- (c) In case of the death / disability / non-availability of the Guide, the Vice-Chancellor may nominate a member from the Panel of Examiners / Chairperson of respective Board of Studies to act as the Chairperson, Board of Examiners of the Thesis to adjudicate the thesis and to conduct the Viva-Voce Examination.

#### 8.8 **Ph.D. Notification:** The Ph.D. Declaration Notification by the Registrar (Evaluation) shall be on the Official Letter Head and shall contain

- (a) the Name of the candidate,
- (b) the Discipline / Subject of the Ph.D.,
- (c) the Names of Guide, Co-guide (s), if any,
- (d) the Name of the Department where the research work was carried out,
- (e) the Title of the Thesis.

#### 8.9 The Registrar (Evaluation) shall affix a Seal with the University Emblem stating that the Thesis was accepted for the award of Ph.D. Degree as per 2010 Regulation and issue this copy to the candidate.

#### 8.10 Plagiarism charges and subsequent actions:

- (a) If the University receives complaint of plagiarism with sufficient evidences or if any Examiner points out occurrence of plagiarism in the Thesis, the Thesis shall be sent to a subject Expert selected by the Vice-Chancellor to verify and ascertain the occurrence of plagiarism.
- (b) If plagiarism is proved, then a show cause notice shall be issued to the candidate and the Guide. After reply to the show cause notice all documents / reports / answers to the show cause notice shall be placed before the Syndicate for appropriate actions including possible annulment of Registration of the candidate and initiation of disciplinary action against the candidate and the Guide.
- (c) Unsigned and undated complaints without the address of the complainant shall not be entertained by the University.
- (d) The University shall also strictly maintain confidentiality of the name and address of the complainant.

### 9. CHANGE OF TITLE / GUIDE

- 9.1 (a) A candidate desiring to change the title of the Thesis shall apply to the Director of Research with 15 copies of revised Synopsis and changed Title through the Guide after paying the prescribed fee.
- (b) The Director of Research shall seek the opinion of the Board of Studies for the change of title. Such a change can be permitted anytime before the Pre-thesis Submission Colloquium.
- (c) If a candidate decides to change the topic of research, his / her registration stands canceled, and the candidate has to undergo the Provisional Registration process again.
- (d) Generally, change of Guide is not permissible. It may be permitted only under exceptional circumstances such as death / disability / other medical conditions of the Guide or legal actions on the Guide by the administration. However, if there is any written complaint about conflict between the Guide and the candidate, the Director of Research shall refer the matter to a Committee constituted by the University whose decision has to be placed before the Vice-Chancellor for final decision.

### 10. PUBLICATION OF THE THESIS

If a candidate intends to publish the Thesis, he / she shall seek the permission of the University after paying the prescribed fee.

11. Any issue not covered by the foregoing shall be governed by the decision of the Vice-Chancellor.
12. The earlier regulations governing the award of the Degree of Doctor of Philosophy (Ph.D.), 2004 is hereby repealed.
13. The candidates who are already enrolled / registered to the Ph.D. program as per earlier regulations and who have not completed 5 years from the date of their enrollment / registration may exercise the option to switch over to this regulation provided they undergo the compulsory course work as prescribed in Section 5. For

such candidates, as a special provision, a maximum of six additional months shall be given to complete their doctoral work.

## **GUIDELINES FOR DOCTORAL RESEARCH**

The Ph.D. program of the University of Mysore entails two stages :

1. Entrance Test, Provisional registration, Course Work and Registration
2. Thesis Submission

The following guidelines indicate procedures to be followed to maintain certain minimum standards in doctoral work. These procedures should help in minimizing large scale variations in the formats followed in doctoral work.

### **1. ENTRANCE TEST, PROVISIONAL REGISTRATION, COURSE WORK AND REGISTRATION**

- 1.1 All candidates except those who have qualified for UGC / CSIR JRF fellowships, intending to pursue doctoral work shall apply for Entrance Test as per Section 5, as and when the University notifies such a test. Foreign candidates are exempted from Entrance Test because of Visa requirements.
- 1.2 After successful completion of the Entrance Test, candidates shall be called for Interview as and when vacancies arise and after successful completion of Interview, a candidate is allotted a Ph.D. Guide by the Department Council as per Section 5. Mere qualification in the Entrance Test shall not necessarily entitle a candidate for Provisional Registration for Ph.D.
- 1.3 After selection, a candidate has to provisionally register as per Section 5. Application for Provisional Registration may be obtained from the office of the Director of Research after payment of prescribed fee. Date of Provisional Registration for foreign candidates is the date of reporting for doctoral work to the Head of the Department with a valid research Visa.
- 1.4 After successful completion of Course Work as certified by the Head of the Department as per Section 6, a candidate has to deliver a Pre-registration Colloquium as per Section 6.
- 1.5 After successful completion of Pre-registration Colloquium, a candidate has to apply to the Director of Research, by payment of prescribed fee, for Registration as per Section 6.
- 1.6 Candidates shall submit Research Progress Reports and pay prescribed tuition fee / laboratory fee wherever applicable, before the end of every year from the date of Provisional Registration, without fail, as per Section 7. Failure to submit two successive Research Progress Reports / pay tuition fee entails cancellation of Registration as per Section 7.

## **2. PH.D. THESIS SUBMISSION**

- 2.1 A candidate is eligible to submit his / her Thesis only
- (i) after completing 2 years of research work from the date of Registration, as per Section 8, and
  - (ii) after publishing / getting acceptance letters as main author / co-author, for at least two research articles based on his / her research work related to his / her Thesis, as per Section 7.
- 2.2 A candidate has to prepare Thesis and submit 15 copies of Synopsis and 5 copies of Thesis, as per Section 8.
- 2.3 All candidates shall follow the guidelines below while preparing their Thesis:

### **The Abstract**

An Abstract shall be included in the preliminary section of the Thesis. The abstract in the body of the Thesis shall be in the same style as that used in the rest of the Thesis and shall be placed after the Certificate page. The Abstract shall reflect the contents of the Thesis

### **Evidence of Publication**

At the end of the Thesis, reprints of published papers or acceptance letters with manuscripts may be enclosed.

### **Thesis Copies**

Five bound copies of the Thesis must be submitted and the candidate is advised to keep a copy of the same for personal use. The electronic version of Thesis is to be submitted in CD in .pdf form and a copy of the same is to be retained by the candidate.

### **Certificate**

The certificate in the enclosed format shall be enclosed in the Thesis.

### **Copies**

Good quality paper must be used for copies and photocopy of the final copy shall be such that it ensures consistent quality without gray or dark casts to the background. All copies shall be on white A4 paper and on one side of a paper.

### **Typeface**

Type size should be 10 point or larger. Script or ornamental fonts shall not be used. Print must be letter quality. Accent marks and hand annotations must be done, neatly in black ink.

### **Margins**

Margins on the binding edge must be 1.5 inches and all other margins must be one inch. (Pagination, headers, and/or footers may be placed within the margin, but no closer than one-half inch from the edge of the page.)

## Spacing

One and a half or double spacing is to be followed in the main body excepting in presenting foot notes, tables etc. Final copies of the Thesis must be clear and attractive. Each copy should be reviewed for evenness and clarity of type, missing pages and crooked text.

## Order and Content

- Title page
- Certificate page - containing the signature of the candidate, guide, co-guide, if any, and Head of the Department/Institution.
- Certificate that the thesis has been revised and resubmitted based on suggestions by examiners, if applicable, signed by the candidate, guide, co-guide, if any, and Head of the Department/Institution.
- Preface and/or Acknowledgement
- Table of contents with page references
- List of tables with titles and page references
- List of illustrations with titles and page references.
- Abstract
- Text
- References
- Appendices, if any
- Bibliography or list of references, if any

## Pagination

Each page of the manuscript, including all blank pages, and pages with photographs, tables, figures, maps, Computer printouts shall be assigned a number. Consistent pagination, at least one-half inch from the right hand top corner of the page, shall be used throughout the Thesis.

## Land Scape

For Text, Illustrations, Charts, Graphs, etc. printed in landscape form, the orientation shall be facing away from the bound edge of the paper.

## Important to Note

1. For the preliminary pages, small Roman numbers (i, ii, iii, iv etc.) shall be used.
2. For the remainder of the Thesis, the continuous pagination shall be followed in arabic numerals.
3. Address of the candidate / Guide must be Department / Institute address where research was carried out. The Address shall not contain personal affiliation or any other official information / details about the candidate / Guide such as qualification, designation, etc.
4. Official logo / symbol of the University of Mysore / Research Institute must not be used anywhere in the Thesis.
5. **No dedication of any sort is permitted anywhere in the Thesis.**

**Sample Title Page for Ph.D. Thesis**

Title of the thesis.....

The Thesis entitled .....

submitted to the Department of Studies of in.....,

of the University of Mysore, Mysore, / Research Institute .....

.....recognized by the University of Mysore, Mysore as

a Research Center in the subject .....,

in fulfillment of the requirements for the Degree of Doctor of Philosophy (Ph.D.)

in the subject .....

Name of the Candidate.....

Name of the Guide.....

Name of Co-guide (s) .....

Date of Submission of the Thesis:

**Format of Certificate**

I, Mr. / Ms. ....

(name of Doctoral candidate), certify that this thesis is the result of research work

done by me under the supervision of Dr. ....

(name of Guide and co-guide (s), if any) at .....

name of Department/Institution where research work was carried out).

I am submitting this thesis for possible award of the degree of Doctor of

Philosophy (Ph.D.) in .....

(name of subject (s) / discipline (s)) of the University of Mysore, Mysore.

I further certify that this thesis or any part of it has not been submitted for award of any other degree/diploma of this or any other University.

*Signature of Doctoral candidate*

*Signed by me on..... (date)*

*Signature of Guide and co-guide(s), if any Date :*

*Counter signed by*

*Date:*

*Signature of Chairperson/Head of Department/  
Institution with name and official seal.*

## APPENDIX-A

### PROFORMA FOR SHORT REPORT ON THE PH.D. THESIS

[To be signed by the Examiner and sent to the Chairperson, Board of Examiners of the Thesis along with a detailed Evaluation Report, either by Hardcopy / FAX / Scanned Image]

<b>1.</b>	Name of the Candidate (to be filled in by the office)	
<b>2</b>	Title of the Thesis (to be filled in by the office)	
<b>3</b>	A) Faculty B) Subject (s) / Discipline (s) (to be filled in by the office)	
<b>4</b>	Name and Address of the Examiner (to be filled in by the office)	

(Sl.NO.1 TO 4 TO BE FILLED BY THE Office of Director of Research )

Brief recommendation of the Examiner:

[Put a tick mark against whichever is applicable]

(i) Whether the Thesis in its present form is acceptable for the award of Ph.D. degree?

(ii) Whether the Thesis has to be revised and resubmitted to you for revaluation by you ? YES / NO

(If Yes, please include detailed suggestions for revision in your Report.)

(iii) Whether the Thesis has to be revised and resubmitted with certification of corrections by the Guide, without sending the revised Thesis to you for revaluation?

(iv) Any specific suggestions / points for open Viva-Voce Exam?

(If Yes, please include these in your Report.)

(v) Whether you reject the Thesis outright? YES / NO

Date:

Signature

UNIVERSITY OF MYSORE

**FORM FOR PROVISIONAL REGISTRATION FOR Ph.D.**

Passport Sized Photo
----------------------

Name	
Highest Educational Qualification	
Permanent Address	
Mailing Address	
E-Mail	
Telephone: Landline / Mobile	
Address of place of Work, if employed	
Department / Research Center recognized by University of Mysore where doctoral research will be carried out	
Status <ul style="list-style-type: none"> <li>• With Fellowship</li> <li>• Without Fellowship</li> <li>• Inservice* (those employed)</li> </ul>	
Name and official address of the Guide	
Highest Qualification of guide relevant to the Discipline (s) in which the candidate wishes to work	
Name and official address of Co-guide (s), if any	
Highest Qualification of Co-guide (s) relevant to the discipline (s) in which the candidate wishes to work	
Subject (s) / Discipline (s) of research work	
Details of fee remitted to the University	

Date: Signature of the candidate

Date: Signature of the Guide and Co-guide (s), if any

Date: Counter-signature of Chairperson/Director

<b>Enclosures</b>
The following documents are to be attached
1. Attested photocopies of Masters degree certificate and Marks cards.
2. Attested photocopy of Fellowship award document (for candidates with fellowship).
3. Registrar's permission letter, in original (for those without fellowship).
4. No objection certificate from the employer, in original (for in-service candidates).
5. Acceptance certificate from the Guide and co-guide (s), if any, in original.
6. Guide's declaration certificate about number of candidates presently working under him / her. Similar declaration from co-guide (s), if any.
7. Bank Challan for having remitted the provisional registration fee, in original.

Ph.D.regulations 2010 corrected